## Contents

List of Contributors	xiii	4. API Solid-Form Screening and Selection M.Y. GOKHALE AND R.V. MANTRI	
THEORIES AND TECHNIQUES THE CHARACTERIZATION OF D SUBSTANCES AND EXCIPIENT	RUG	<ul> <li>4.1 Introduction</li> <li>4.2 Solid-Form Selection Considerations</li> <li>4.3 Screening Solid-Forms of API</li> <li>4.4 Identification and Analysis of Forms</li> <li>4.5 Conclusions</li> <li>4.6 Case Studies</li> <li>References</li> </ul>	85 86 102 105 107 107 109
1. Solubility of Pharmaceutical Solids		5. Drug Stability and Degradation Studies	
R.V. MANTRI, R. SANGHVI, AND H.(J.) ZHU		D. ZHOU, W.R. PORTER AND G.G.Z. ZHANG	GE AM
<ul> <li>1.1 Introduction</li> <li>1.2 Thermodynamics of Solutions</li> <li>1.3 Theoretical Estimation of Solubility</li> <li>1.4 Solubilization of Drug Candidates</li> <li>1.5 Experimental Determination of Solubility</li> <li>References</li> </ul>	3 5 6 10 18 21	<ul> <li>5.1 Introduction</li> <li>5.2 Chemical Stability</li> <li>5.3 Common Pathways of Drug Degradation</li> <li>5.4 Experimental Approaches to Studying the Chemical Degradation of Drugs</li> <li>5.5 Physical Stability and Phase Transformations</li> <li>5.6 Phase Transformations During Pharmaceutical</li> </ul>	113 113 128 134 140
2. Crystalline and Amorphous Solids G.G.Z. ZHANG AND D. ZHOU		Processing References	142 146
<ul> <li>2.1 Introduction</li> <li>2.2 Definitions and Categorization of Solids</li> <li>2.3 Thermodynamics and Phase Diagrams</li> <li>2.4 Pharmaceutical Relevance and Implications</li> <li>2.5 Transformations Among Solids</li> <li>2.6 Methods of Generating Solids</li> <li>2.7 Amorphous Drugs and Solid Dispersions</li> <li>2.8 Special Topics</li> <li>References</li> </ul>	23 23 25 34 38 42 43 52 53	<ul> <li>6. Excipient Compatibility and Functionality</li> <li>A.S. NARANG, R.V. MANTRI AND K.S. RAGHAVAN</li> <li>6.1 Introduction</li> <li>6.2 Excipient Functionality</li> <li>6.3 Excipient Compatibility</li> <li>6.4 Excipient Variability</li> <li>6.5 Risk Assessment of Drug-Excipient Incompatibilities and Mitigation Strategies</li> <li>6.6 Conclusions</li> </ul>	151 152 155 169 174 175
3. Solid-State Characterization and Techniques	Pharms	References Total Spanish Mandar Danaghow J. DMAW. B. JUL J.	175
D. LAW AND D. ZHOU		7 Polymor Proporties and Characterization	
3.1 Introduction 3.2 Microscopy  Application and State Company and	59 60	7. Polymer Properties and Characterization	
<ul><li>3.3 Powder X-ray Diffraction</li><li>3.4 Thermal Analysis</li></ul>		7.1 Introduction 7.2 Basic Concepts and Characterization of Polymeric	
3.5 Vibrational Spectroscopy	72	Materials	185
3.6 Moisture Sorption	79 A	7.3 Commonly Used Polymer Excipients in Solid Oral	
3.7 Hyphenated Techniques	81	hysicochemical Factors Affecting Drug Absorption 221	
3.8 Conclusion References		7.4 Conclusion no reposed A. guit L. galacatt A. goros T. Isotypoloik	220
References	82	References	221

viii	CONT	TENTS	
8. Interfacial Phenomena		12. Oral Drug Absorption: Evaluation and	
E. HADJITTOFIS, S.C. DAS, G.G.Z. ZHANG AND J.Y.Y. HENG		Prediction	
		Y. YANG, Y. ZHAO, A. YU, D. SUN AND L.X. YU	
8.1 Interfaces	225	1. TANO, 1. ZHAO, A. TO, D. SUN AND L.X. YU	
8.2 Fundamental Intermolecular Forces	226	12.1 Introduction	33
8.3 Thermodynamics of Particles in Electrolyte Solutions	228	12.2 Anatomy and Physiology of the GI Tract	33
<ul><li>8.4 Surface Tension and Surface Energy</li><li>8.5 Thermodynamics of Wetting</li></ul>	229	12.3 Biopharmaceutics Classification System	332
8.6 Solid—Vapor Interface	232	12.4 Intestinal Permeability Evaluation: Cultured Cells	338
8.7 Interfacial Phenomenon (Solid—Solid)	236 241	12.5 Intestinal Permeability Evaluation: Ex Vivo	342
8.8 Future Directions—Opinions	241	12.6 In Silico Methods	346
References management and approximately 19.	247	12.7 In Vivo Methods to Determine Oral Drug	eneral ex-
maporo prin grando ario realizado (1)	271	Absorption	34
DITAR OF DAYS OF THE SAME OF T		12.8 Food Effects on Drug Intestinal Absorption	348
9. Fundamental of Diffusion and Dissolution		12.9 Regional Drug Absorption Along GI 12.10 Future Trends	350
Y. CHEN, J. WANG AND D.R. FLANAGAN		12.10 Future Frencis 12.11 Conclusions	352
		Disclaimer Disclaimer	352 352
9.1 Fundamental of Diffusion	253	References	352
9.2 Fundamentals of Dissolution	262		332
References	270		
		13 Dissolution Testing of Calif Day 1	
10. Particle, Powder, and Compact		13. Dissolution Testing of Solid Products	
		Y. CHEN, Z. GAO AND J.Z. DUAN	
Characterization		13.1 Introduction	355
G.E. AMIDON, P.J. MEYER AND D.M. MUDIE		13.2 Theory of Dissolution Test for Solid Drug Products	356
10.1 Introduction	271	13.3 Current Technology and Instrumentation for	330
10.2 Particle Size Characterization	271	Dissolution Testing	358
10.3 Powder Characterization	276	13.4 Regulatory Considerations	364
10.4 Compact (Mechanical Property) Characterization	281	13.5 Summary	378
10.5 Conclusions	290	References	379
References	290		
Pass Transformations During Pharmaceurical			
API:		14. Bioavailability and Bioequivalence	
en.es	Relo	H. ZHU, B.V. LI, R.S. UPPOOR, M. MEHTA AND L.X. YU	
BIOPHARMACEUTICAL		14.1 General Background	201
AND PHARMACOKINETIC		14.2 Definitions and Key Concepts	381
		14.3 General Components of BA and BE Studies	382
EVALUATIONS OF DRUG		14.4 Data Analysis for BA and BE Studies	384 387
MOLECULES AND DOSAGE FORM	S	14.5 Special Topics for BA and BE Assessment	389
22. 132	1 (1.3)	14.6 Biowaiver and BCS	393
replem Compatibility		14.7 Summary and Future Perspectives	395
11. Oral Absorption Basics: Pathways and		References	395
Physicochemical and Biological Factors Affecting			1, 183
Absorption			
acr. It is		15. Predictive Biopharmaceutics and	
L. ZHU, L. LU, S. WANG, J. WU, J. SHI, T. YAN, C. XIÈ, Q. LI,		Pharmacokinetics: Modeling and Simulation	
M. HU AND Z. LIU			
11.1 Barriers to Oral Drug Delivery	297	Y. YANG, Y. ZHAO, J.Z. DUAN, P. ZHAO, L. ZHAO AND X. ZHANG	
11.2 Pathways of Drug Absorption	298	15.1 Introduction	399
11.3 Pathways of Drug Metabolism	307	15.2 Modeling and Simulation Approaches for	339
11.4 Pathways of Drug Elimination	312	Biopharmaceutics and PK	400
11.5 Coupling of Enzymes and Efflux Transporters	315	15.3 Application of Biopharmaceutics and PK Modeling	100
11.6 Regulation of Transporters and Enzymes by Nuclear		and Simulation in Drug Development	407
Receptors will be a supplied to the supplied t	319	15.4 Application of Biopharmaceutics and PK Modeling	4 0.
11.7 Physicochemical Factors Affecting Drug Absorption	321	and Simulation in Regulatory Activities	408
11.8 Biological Factors Affecting Drug Absorption	323	15.5 Summary	409
References	325	References	409

	CONT	TENTS	ix
16. In Vitro/In Vivo Correlations: Fundamentals, Development Considerations, and Applications Y. QIU AND J.Z. DUAN		19. Rational Design of Oral Modified-Release Drug Delivery Systems Y. QIU AND P.I. LEE	
<ul> <li>16.1 Introduction</li> <li>16.2 Development and Assessment of an IVIVC</li> <li>16.3 Considerations in IVIVC Development</li> <li>16.4 IVIVC Development Approach</li> <li>16.5 Applications and Limitations</li> <li>16.6 Case Studies</li> <li>16.7 Summary</li> <li>References</li> </ul>	415 416 430 435 439 441 447	<ul> <li>19.1 Introduction</li> <li>19.2 Oral MR Technologies and Drug Delivery Systems</li> <li>19.3 Rational Design of Modified Release Systems</li> <li>19.4 Summary</li> <li>References</li> <li>20. Product and Process Development of Solid Oral Dosage Forms</li> </ul>	519 521 538 550 550
		Y. QIU, X. HE, L. ZHU AND B. CHEN	
DESIGN, DEVELOPMENT AND SCALE-UP OF FORMULATION AND MANUFACTURING PROCES	S	20.1 Introduction 20.2 Development of Solid Dosage Forms 20.3 Technology Transfer 20.4 Case Studies 20.5 Intellectual Property Considerations 20.6 Summary References	555 556 574 578 587 588 589
17. Oral Formulations for Preclinical Studies: Principle, Design, and Development Consideration Y. GAO, C. GESENBERG AND W. ZHENG	ns	21. Analytical Development and Validation for Solid Oral Dosage Forms	
<ul> <li>17.1 Introduction</li> <li>17.2 Considerations in Designing Formulations for Preclinical Species</li> <li>17.3 Use of API Properties to Guide Formulation Design</li> <li>17.4 Formulations for BCS Class I/III Compounds</li> <li>17.5 Formulations for BCS Class II/IV Compounds Using Enabling Technologies</li> <li>17.6 Evaluating Formulation Performance by In Vitro Dissolution</li> <li>17.7 Rationale Selection of Formulations Suitable for Intended Studies</li> <li>17.8 Case Study</li> <li>Acknowledgments</li> <li>References</li> </ul>	455 456 460 463 465 482 486 488 491 491	<ul> <li>X.(E) FANG, G. CARR AND R.C. FREEZE</li> <li>21.1 Analytical Method Development and Validation Strategy</li> <li>21.2 Category of Analytical Method and Method Development</li> <li>21.3 Analytical Method Validation</li> <li>21.4 Method Transfers</li> <li>21.5 Case Studies</li> <li>21.6 Conclusions</li> <li>References</li> <li>22. Statistical Design and Analysis of Long-Term Stability Studies for Drug Products</li> </ul>	593 595 597 606 607 610
18. Rational Design for Amorphous Solid	× (	D. LEBLOND	
Dispersions  A. NEWMAN  18.1 Introduction  18.2 Key Components of Amorphous Solid Dispersions  18.3 Characterization of Amorphous Dispersions  18.4 Screening and Selection of Amorphous Solid  Dispersions  18.5 Stability Considerations  18.6 Solubility and Dissolution Considerations	497 497 499 501 504 505	<ul> <li>22.1 Stability Study Objectives</li> <li>22.2 Regulatory Guidance</li> <li>22.3 Test Methods and Data Management</li> <li>22.4 Modeling Instability</li> <li>22.5 Long-Term Stability Study Design</li> <li>22.6 Determination of Shelf Life</li> <li>22.7 Release Limit Estimation</li> <li>22.8 Probability of Future OOS Stability Test Results</li> <li>Appendix A Sample Data</li> <li>References</li> </ul>	613 613 614 615 618 625 628 629 634 634
18.7 Methods of Manufacturing Amorphous Solid Dispersions	507	23. Packaging Selection for Solid Oral Dosage For	ms
<ul><li>18.8 Dosage Form Development Considerations</li><li>18.9 Case Studies</li><li>18.10 Conclusions</li><li>References</li></ul>	509 509 515 515	Y. CHEN  23.1 Introduction  23.2 Material Considerations	637 638

x	CONTENTS			
23.3 Linking Packaging Property With Drug Property 23.4 Postapproval Packaging Changes References	646 649 650	<ul><li>27.2 Gelatin and Capsule Shell Composition</li><li>27.3 Capsule Shell Manufacturing</li><li>27.4 Alternatives to Gelatin</li><li>27.5 Hard Shell</li></ul>	725 729 730 731	
24. Clinical Supplies Manufacture: Strategy, GMP Considerations, and Cleaning Validation B.W. PACK, S. STITHIT AND W. CHEN		<ul><li>27.6 Capsule Filling</li><li>27.7 Capsule Formulation Requirements</li><li>27.8 Capsule Formulations</li><li>References</li></ul>	734 740 744 746	
<ul> <li>24.1 Introduction</li> <li>24.2 Strategy of Clinical Supplies Manufacture</li> <li>24.3 Clinical Plan</li> <li>24.4 Clinical Supplies Liaison</li> <li>24.5 Lean Manufacturing</li> <li>24.6 Company Lean Manufacturing</li> </ul>	653 653 654 655 655	28. Design, Development, and Scale-Up of the High-Shear Wet Granulation Process S. BADAWY AND P. PANDEY		
24.6 Cross-Functional Training	657	28.1 Introduction	749	
24.7 Outsourcing of Manufacturing and Packaging	658	28.2 Rate Processes in Wet Granulation	751	
<ul> <li>24.8 New Technology</li> <li>24.9 GMP Considerations on Manufacturing Clinical</li> </ul>	658	<ul><li>28.3 Material Properties in Wet Granulation</li><li>28.4 Design of the Pharmaceutical Wet Granulation</li></ul>	753	
Supplies 24.10 Cleaning Validation and Validation	660	Process	757	
<ul><li>24.10 Cleaning Validation and Verification</li><li>24.11 Case Study</li><li>24.12 Summary</li></ul>	664 672	<ul><li>28.5 Quality Attributes of Wet Granulated Products</li><li>28.6 Scale-Up of the High-Shear Wet Granulation</li></ul>	760	
Acknowledgments	674	Process	764	
References	674	28.7 Modeling and Simulation in High-Shear Wet		
A Controlled	674	Granulation	769	
		28.8 Summary	772	
25. Specification Setting and Manufacturing Procedentrol for Solid Oral Drug Products	SS	References	772	
W. CHEN, S. STITHIT AND J.Y. ZHENG		20 P P 1		
W. CHEN, S. STITHIT AND J.I. ZHENG		29. Process Development, Optimization, and		
25.1 Introduction	677	Scale-Up: Fluid-Bed Granulation		
25.2 Specifications for the Drug Substance	678	K. YAMAMOTO AND Z.J. SHAO		
25.3 Specifications for Clinical Trial Materials	682	29.1 Overview of the Fluid-Bed Granulation Process	777	
25.4 Specifications for Commercial Drug Products	684	29.2 Equipment Design	777	
25.5 Process Control for Solid Oral Drug Products	687	29.3 Fluid-Bed Hydrodynamics	777	
25.6 Analytical Procedures	691	29.4 Mechanisms of Agglomeration	781	
25.7 Conclusions	692	29.5 Formulation and Process Variables and Their	783	
Acknowledgments	692	Control	704	
References	692	29.6 Scale-Up Considerations	784	
		29.7 Application of Quality-by-Design to Fluid-Bed	786	
26 P. P. R. D. Levesoubord moderate submic village		Granulation Guanty-by-Design to Fluid-bed	700	
26. Process Development, Optimization, and		29.8 Summary	790	
Scale-Up: Providing Reliable Powder Flow and Product Uniformity		References File & Bur Argon M. And Argon S. F. Lines	790 791	
T. BAXTER AND J. PRESCOTT				
		30. Formulation, Process Development, and		
26.1 Introduction	695	Scale-Up: Spray-Drying Amorphous Solid		
26.2 Common Powder Handling Equipment	698	Dispersions for Insoluble Drugs		
26.3 Typical Flow and Segregation Concerns	702	A second compared to the second control of t		
26.4 Measurement of Flow Properties	707	B. VIG AND M. MORGEN		
26.5 Basic Equipment Design Techniques	716	30.1 Introduction	702	
References	722	30.2 Background	793	
		30.3 SDD Formulation Composition	793	
an a little and a second little		30.4 SDD Process Considerations: Manufacturing and	795	
27. Capsules Dosage Form: Formulation and		Scale-Up	002	
Manufacturing Considerations		30.5 SDD Characterization	803	
S.W. HOAG			809	
o. w. Horio		30.6 Dosage Form Considerations	815	
27.1 Introduction—Capsules as a Dosage Form	723	30.7 Concluding Remarks	817	
Supodies as a Dosage Politi	143	References	818	

CONTENTS	***
CONTENTS	X1

	CONT	EN15	XI
31. Process Development and Scale-Up: Twin-Screw Extrusion		34. Development, Optimization, and Scale-Up of Process Parameters: Pan Coating	
B. CHEN, L. ZHU, F. ZHANG AND Y. QIU		S. PORTER, G. SACKETT AND L. LIU	
<ul> <li>31.1 Introduction</li> <li>31.2 Twin-Screw Extruder and Extrusion Process</li> <li>31.3 Hot-Melt Extrusion</li> <li>31.4 Continuous Granulation Using a Twin-Screw Extruder</li> <li>31.5 Process Scale-Up</li> <li>31.6 Case Studies</li> <li>31.7 Summary</li> <li>References</li> </ul>	821 822 835 842 853 860 865 865	<ul> <li>34.1 Introduction</li> <li>34.2 Film-Coating Formulations</li> <li>34.3 Design and Development of Film-Coating Processes</li> <li>34.4 Troubleshooting</li> <li>34.5 Consideration of Product Substrate</li> <li>34.6 Coating Formulation</li> <li>34.7 Application of Systematic and Statistical Tools for Trouble Shooting and Process Optimization</li> <li>References</li> </ul>	953 954 966 986 987 988 993
32. Development, Scale-Up, and Optimization of Process Parameters: Roller Compaction		35. Development, Optimization, and Scale-Up of Process Parameters: Wurster Coating	
Theory and Practice		D. JONES AND E. GODEK	
<ul> <li>J.M. ROWE, S.T. CHARLTON AND R.J. McCANN</li> <li>32.1 Introduction</li> <li>32.2 In-Process Analytical Characterization Tools</li> <li>32.3 Roller Compaction Models</li> <li>32.4 Approaches to Developing a Roller Compaction Process</li> <li>32.5 Illustrative Example Detailing the Typical Drug Product Development Process for a Roller Compacted Product</li> <li>32.6 Scale-Up Considerations of Roller Compaction</li> <li>32.7 Illustrative Example Detailing a Possible Approach to Scaling-Up a Roller Compaction Process</li> <li>32.8 Trouble-Shooting</li> <li>32.9 Conclusions</li> <li>References</li> <li>33. Development, Optimization, and Scale-Up of Process Parameters: Tablet Compression</li> <li>D. NATOLI, M. LEVIN, L. TSYGAN AND L. LIU</li> </ul>	869 877 889 903 906 907 909 912 912 912	<ul> <li>35.1 Introduction</li> <li>35.2 Basic Design</li> <li>35.3 HS Wurster Considerations</li> <li>35.4 Coating and Process Characteristics</li> <li>35.5 Processing Examples</li> <li>35.6 Process Variables</li> <li>35.7 Case Studies for Layering and Fine Particle Coating</li> <li>35.8 Scale-Up of Wurster Processing</li> <li>35.9 Summary</li> <li>36. Commercial Manufacturing and Product Qual</li> <li>D.Y. PENG, Y. HU, S. CHATTERJEE AND D. ZHOU</li> <li>36.1 Introduction</li> <li>36.2 Process Design, Understanding, and Control Strategy Development</li> <li>36.3 Process Scale-Up, Technology Transfer, and Process Qualification</li> <li>36.4 Continued Process Verification</li> <li>36.5 Summary</li> <li>References</li> </ul>	997 997 999 1000 1000 1002 1005 1009 1014 ity 1015 1016 1017 1020 1028 1029
<ul> <li>33.1 Introduction</li> <li>33.2 Operation Principles of Compression by Rotary Press</li> <li>33.3 Tool Design</li> <li>33.4 Tablet Designs</li> <li>33.5 Care of Punches and Dies</li> <li>33.6 Tooling Inspection</li> <li>33.7 Tooling Reworking</li> <li>33.8 Press Wear</li> <li>33.9 Purchasing Tablet Compression Tooling</li> <li>33.10 Consideration of Tooling</li> <li>33.11 Application of Quality by Design and Tools (Case Study)</li> <li>33.12 Scale-Up of Compression</li> </ul>	917 917 917 929 936 936 937 937 937 938 940 950	<ul> <li>37. Emerging Technology for Modernizing Pharmaceutical Production: Continuous Manufacturing</li> <li>T. O'CONNOR AND S. LEE</li> <li>37.1 Introduction</li> <li>37.2 Challenges for Pharmaceutical Manufacturing</li> <li>37.3 The Adoption of Emerging Technology to Address Pharmaceutical Manufacturing Challenges</li> <li>37.4 Technologies for Continuous Drug Product Manufacturing</li> <li>37.5 Challenges in Implementing Continuous Manufacturing</li> <li>37.6 Conclusion</li> <li>References</li> </ul>	1031 1032 1034 1043 1044 1044
<ul> <li>32.5 Illustrative Example Detailing the Typical Drug Product Development Process for a Roller Compacted Product</li> <li>32.6 Scale-Up Considerations of Roller Compaction</li> <li>32.7 Illustrative Example Detailing a Possible Approach to Scaling-Up a Roller Compaction Process</li> <li>32.8 Trouble-Shooting</li> <li>32.9 Conclusions</li> <li>References</li> <li>33. Development, Optimization, and Scale-Up of Process Parameters: Tablet Compression</li> <li>D. NATOLI, M. LEVIN, L. TSYGAN AND L. LIU</li> <li>33.1 Introduction</li> <li>33.2 Operation Principles of Compression by Rotary Press</li> <li>33.3 Tool Design</li> <li>33.4 Tablet Designs</li> <li>33.5 Care of Punches and Dies</li> <li>33.6 Tooling Inspection</li> <li>33.7 Tooling Reworking</li> <li>33.8 Press Wear</li> <li>33.9 Purchasing Tablet Compression Tooling</li> <li>33.10 Consideration of Tooling</li> <li>33.11 Application of Quality by Design and Tools (Case Study)</li> <li>33.12 Scale-Up of Compression</li> </ul>	906 907 909 912 912 912 917 917 917 929 936 936 937 937 937 938 940	<ul> <li>35.7 Case Studies for Layering and Fine Particle Coating</li> <li>35.8 Scale-Up of Wurster Processing</li> <li>35.9 Summary</li> <li>36. Commercial Manufacturing and Product Qual D.Y. PENG, Y. HU, S. CHATTERJEE AND D. ZHOU</li> <li>36.1 Introduction</li> <li>36.2 Process Design, Understanding, and Control Strategy Development</li> <li>36.3 Process Scale-Up, Technology Transfer, and Process Qualification</li> <li>36.4 Continued Process Verification</li> <li>36.5 Summary</li> <li>References</li> <li>37. Emerging Technology for Modernizing Pharmaceutical Production: Continuous Manufacturing</li> <li>T. O'CONNOR AND S. LEE</li> <li>37.1 Introduction</li> <li>37.2 Challenges for Pharmaceutical Manufacturing</li> <li>37.3 The Adoption of Emerging Technology to Address Pharmaceutical Manufacturing Challenges</li> <li>37.4 Technologies for Continuous Drug Product Manufacturing</li> <li>37.5 Challenges in Implementing Continuous Manufacturing</li> <li>37.6 Conclusion</li> </ul>	

xii CONTENTS IV 39.3 Evolution of the Drug Substance Review Process 1080 39.4 Quality Assessment for Drug Substances 1081 REGULATORY ASPECTS OF PRODUCT 39.5 Conclusion 1090 Appendix QbR Questions—Drug Substance 1091 DEVELOPMENT References 1092 40. Modern Pharmaceutical Regulations: Quality 38. Drug Product Approval in the United States and International Harmonization Assessment for Drug Products J.A. MAGUIRE, W. JIANG AND L.X. YU L. WU, H. SMITH, H. ZHENG AND L.X. YU 40.1 Introduction 1095 38.1 Drug Product Approval and the US Food and Drug 40.2 QbR History 1095 Administration 1049 40.3 Current Status of QbR 1096 38.2 The New Drug Application Process 1055 38.3 The Abbreviated New Drug Application Process 1064 40.4 QbR Questions 1097 40.5 Future Direction 38.4 The Biologic License Application Process 1069 1121 38.5 Postapproval Activities and Life Cycle Management 40.6 Conclusions 1122 Appendix: QbR Questions 1122 of NDAs, ANDAs, and BLAs 1071 References 1124 38.6 Global Perspectives on Product Registration and 1072 Drug Approval Acknowledgments 1074 References 1074 Index 1127

## 39. Modern Pharmaceutical Regulations: Quality Assessment for Drug Substances

H. ZHANG, D. JOHNSON, D. SKANCHY AND L.X. YU

39.1 Introduction	1079
39.2 Origin of the ObR	1080